

# PATENT APPLICATION FEE DETERMINATION RECORD

Effective November 10, 1998

Application or Docket Number

09/328196

## CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE		
TOTAL CLAIMS	13 minus 20 = *	
INDEPENDENT CLAIMS	3 minus 3 = *	
MULTIPLE DEPENDENT CLAIM PRESENT		

\* If the difference in column 1 is less than zero, enter "0" in column 2

SMALL ENTITY  
TYPE ☐

OR

OTHER THAN  
SMALL ENTITY

RATE	FEE
	380.00
X\$ 9=	
X39=	
+130=	
TOTAL	

OR

OR

OR

OR

OR

RATE	FEE
	760.00
X\$18=	
X78=	
+260=	
TOTAL	760

## CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	13 Minus = 20		1
Independent	3 Minus = 3		1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

RATE	ADDI- TIONAL FEE
X\$ 9=	
X39=	
+130=	
TOTAL ADDIT. FEE	

OR

OR

OR

OR

RATE	ADDI- TIONAL FEE
X\$18=	
X78=	
+260=	
TOTAL ADDIT. FEE	

AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	13 Minus = 20		1
Independent	3 Minus = 3		1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

RATE	ADDI- TIONAL FEE
X\$ 9=	
X39=	
+130=	
TOTAL ADDIT. FEE	

OR

OR

OR

OR

RATE	ADDI- TIONAL FEE
X\$18=	
X78=	
+260=	
TOTAL ADDIT. FEE	

AMENDMENT	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	13 Minus = 20		1
Independent	3 Minus = 3		1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			

RATE	ADDI- TIONAL FEE
X\$ 9=	
X39=	
+130=	
TOTAL ADDIT. FEE	

OR

OR

OR

OR

RATE	ADDI- TIONAL FEE
X\$18=	
X78=	
+260=	
TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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<b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875						Application or Docket Number						
<b>CLAIMS AS FILED – PART I</b>						<b>SMALL ENTITY</b>		<b>OR</b>		<b>OTHER THAN SMALL ENTITY</b>		
(Column 1)		(Column 2)		(Column 3)		(Column 4)		(Column 5)		(Column 6)		
FOR	NUMBER FILED	NUMBER EXTRA		RATE	FEE	RATE		FEE		FEE		
BASIC FEE (37 CFR 1.16(a))						\$			\$			
TOTAL CLAIMS (37 CFR 1.16(c))	minus 20 =		•		X \$	=			X \$	=		
INDEPENDENT CLAIMS (37 CFR 1.16(b))	minus 3 =		•		X \$	=			X \$	=		
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))						+	\$			+	\$	
* If the difference in column 1 is less than zero, enter "0" in column 2.						TOTAL				TOTAL		
<b>CLAIMS AS AMENDED – PART II</b>						<b>SMALL ENTITY</b>		<b>OR</b>		<b>OTHER THAN SMALL ENTITY</b>		
(Column 1)		(Column 2)		(Column 3)		(Column 4)		(Column 5)		(Column 6)		
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDITIONAL FEE	RATE		ADDITIONAL FEE		ADDITIONAL FEE	
Total (37 CFR 1.16(c))	• 14	Minus	** 20 =		X \$	=			X \$	=		
Independent (37 CFR 1.16(b))	• 4	Minus	*** 3 =		X \$	=			X \$	= 88		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+	\$			+	\$	
TOTAL ADD'L FEE								TOTAL ADD'L FEE		PAID		
<b>AMENDMENT B</b>						<b>SMALL ENTITY</b>		<b>OR</b>		<b>OTHER THAN SMALL ENTITY</b>		
(Column 1)		(Column 2)		(Column 3)		(Column 4)		(Column 5)		(Column 6)		
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDITIONAL FEE	RATE		ADDITIONAL FEE		ADDITIONAL FEE	
Total (37 CFR 1.16(c))	• 14	Minus	** 20 =		X \$	=			X \$	=		
Independent (37 CFR 1.16(b))	• 4	Minus	*** 4 =		X \$	=			X \$	=		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+	\$			+	\$	
TOTAL ADD'L FEE								TOTAL ADD'L FEE				
<b>AMENDMENT C</b>						<b>SMALL ENTITY</b>		<b>OR</b>		<b>OTHER THAN SMALL ENTITY</b>		
(Column 1)		(Column 2)		(Column 3)		(Column 4)		(Column 5)		(Column 6)		
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE	ADDITIONAL FEE	RATE		ADDITIONAL FEE		ADDITIONAL FEE	
Total (37 CFR 1.16(c))	•	Minus	**		X \$	=			X \$	=		
Independent (37 CFR 1.16(b))	•	Minus	***		X \$	=			X \$	=		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))						+	\$			+	\$	
TOTAL ADD'L FEE								TOTAL ADD'L FEE				

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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